#### (19) World Intellectual Property Organization International Bureau



# 

#### (43) International Publication Date 14 February 2002 (14.02.2002)

# (10) International Publication Number WO 02/13256 A1

- H01L 21/60, (51) International Patent Classification7: 21/54, 23/31, 23/48
- (21) International Application Number: PCT/SE01/01718
- (22) International Filing Date: 7 August 2001 (07.08.2001)
- (25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 0002850-6

Stockholm (SE).

8 August 2000 (08.08.2000) SE

- (71) Applicant: TELEFONAKTIEBOLAGET LM ERICS-SON (publ) [SE/SE]; S-126 25 Stockholm (SE).
- (72) Inventors: OLOFSSON, Lars-Anders; Stallbacken 4, S-175 43 Järfälla (SE). JONAS, Ivan; Smedbyvägen 18,
- S-184 32 Åkersberga (SE).

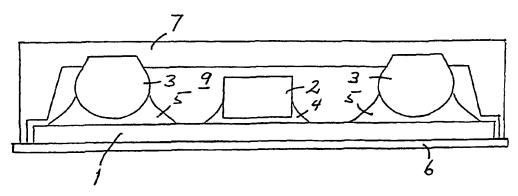
- (81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

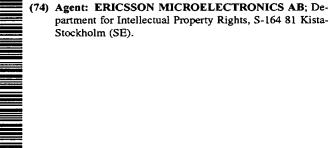
with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginpartment for Intellectual Property Rights, S-164 81 Kistaning of each regular issue of the PCT Gazette.

(54) Title: METHOD OF SECURING SOLDER BALLS AND ANY COMPONENTS FIXED TO ONE AND THE SAME SIDE OF A SUBSTRATE



(57) Abstract: To transfer mold a transfer molding compound on a substrate having discrete components (2) and solder balls (3) on one and the same side, the discrete components (2) having a height that is less than the diameter of the solder balls (3), the substrate is placed on one part (6) of an transfer molding tool with the components (2) and the solder balls (3) facing the other part (7). When the parts (6, 7) of the transfer molding tool are pressed together, that other part (7) is adapted to receive the components (2) and the solder balls (3) and comprises flat-bottomed cavities (8) for individually receiving a top portion of each solder ball (3) and for flattening the top portion of each solder ball (3).





# METHOD OF SECURING SOLDER BALLS AND ANY COMPONENTS FIXED TO ONE AND THE SAME SIDE OF A SUBSTRATE

#### TECHNICAL FIELD

5 The invention relates to safely securing solder balls and any discrete components fixed to one and the same side of substrates.

#### BACKGROUND OF THE INVENTION

In operation, solder balls on ball grid array (BGA) modules as well as solder bumps on dies and on substrates in chip scale packages (CSPs) are all exposed to thermomechanical stress. So are also any discrete components mounted on the same side as the solder balls or solder bumps. Moreover, these discrete components will also be exposed to moisture, contamination, aggressive substances, compression, shocks etc.

- After that e.g. a BGA module is mounted on a substrate by soldering the solder balls to bonding pads on the substrate, it is known to fill the gap between the BGA module and the substrate with an underfill material to protect the solder balls and any discrete components mounted on the same side of the BGA module as the solder balls.
- 20 Besides the fact that filling such gaps with an underfill material is time-consuming, and consequently expensive, such an underfill material can affect the electric properties of the discrete components on the BGA module since the dielectric constant is changed around these components.

#### 25 SUMMARY OF THE INVENTION

The object of the invention is to bring about protection of the solder balls and any discrete components mounted on the same side of a substrate as the solder balls and at the same time improve both the solderability of the solder balls and their reliability what concerns thermomechanical stress.

10

This is attained by covering the discrete components with a layer of a molding compound and by flattening the solder balls and surrounding them partly by that molding compound.

5 Hereby, a mechanically more stable unit as such is obtained at the same time as its solderability is improved.

### BRIEF DESCRIPTION OF THE DRAWING

The invention will be described more in detail below with reference to the appended drawing on which Fig. 1 is a cross-sectional view of an embodiment of an transfer molding tool according to the invention in its open position with a BGA module to be transfer molded in accordance with the invention, Fig. 2 illustrates the transfer molding tool of Fig. 1 in its closed position, and Fig. 3 is a perspective view of an embodiment of a BGA module according to the invention.

15

10

### DESCRIPTION OF THE INVENTION

Fig. 1 is a cross-sectional view of a BGA module comprising a substrate 1 shown with only one discrete component 2 and two solder balls 3 fixed to the substrate 1 by means of e.g. solder joints 4 and 5, respectively.

20

It is to be understood that, normally, there are more than one discrete component fixed to the substrate 1 and a number of solder balls 3 along all four edges of the substrate 1. However, it is to be understood that the invention is applicable also to the case when there are just solder balls and no discrete components fixed to the substrate.

25

30

The diameter of the solder balls 3 is larger than the height of any discrete component 2.

In Fig. 1, the substrate 1 with the component 2 and the solder balls 3 is located in an embodiment of an opened transfer molding tool according to the invention, comprising a lower stationary part 6, and an upper movable part 7 to be pressed towards to the stationary part 6.

10

15

25

30

It is to be understood that, equally well, the lower part can be movable and the upper part can be stationary.

In the embodiment in Fig. 1, the substrate 1 rests on the stationary part 6 with the component 2 and the solder balls 3 facing upwards towards the movable part 7.

In accordance with the invention, on its side facing the component 2 and the solder balls 3, the movable part 7 of the transfer molding tool is provided with a flat-bottomed cavity 8 for each solder ball 3. Each cavity 8 can have the shape of e.g. a truncated cone.

When the molding tool is compressed, i.e. the movable part 7 is pressed against the stationary part 6, each solder ball 3 is received in its corresponding cavity 8. By the compressing force exercised by the movable part 7, the top portion of the solder balls 3 fills out the respective cavity 8 to be formed accordingly, whereby the top portion of the solder balls 3 is flattened by the flat bottom of the cavities 8. Hereby, the solderability of the solder balls is improved.

It is to be understood that, equally well, the flat-bottomed cavities for receiving the solder balls can be provided on the lower part of the molding tool.

Fig. 2 illustrates the position in which the movable part 7 of the transfer molding tool is fully compressed with the stationary part 6. As apparent from Fig. 2, the top portion of the solder balls 3 fills out the respective cavity 8 and is flattened.

A gap 9 is formed between the stationary part 6 and the movable part 7 of the transfer molding tool. This gap 9 extends around the solder balls 3.

In accordance with the invention, a transfer molding compound, i.e. a thermosetting compound, is supplied to the gap 9 between the stationary part 6 and the movable part 7

WO 02/13256 PCT/SE01/01718

of the transfer molding tool. This compound fills the gap 9 and forms a layer that covers the component 2 and surrounds the solder balls 3.

This layer of thermosetting compound will enable the substrate 1 with the component 2 and the solder balls 3 to better withstand thermomechanical stress. Also, the layer of thermosetting compound will make the substrate 1 with the component 2 and the solder balls 3 more robust. The flattened solder balls 3 will very much improve the solderability of the solder balls 3 of the BGA module to bonding pads on e.g. a printed circuit board.

Instead of transfer molding using a thermosetting compound, injection molding using a thermoplastic compound can be used.

It is to be understood that the height of the layer of molding thermosetting or thermoplastic compound does not have to be uniform throughout the gap 9 between the stationary part 6 and the movable part 7 of the transfer molding tool. Instead, the movable part 7 of the transfer molding tool can be designed such that the layer of thermosetting compound that surrounds the solder balls 3 will be lower than the layer of thermosetting compound that covers the components 2. Hereby, the performance of the solder balls what concerns thermomechanical stress improves even more.

20

25

30

15

5

Fig. 3 is a perspective view of such an embodiment of a BGA module. A substrate 1' is provided with a number of cone-shaped solder balls 3' along its edges. The not-shown components, if any, are covered by a layer 10 of thermosetting compound and the solder balls 3' are surrounded by a layer 11 of thermosetting compound that is lower than the layer 10.

As should be apparent from the above, the semiconductor component or module according to the invention will better withstand thermomechanical stress and is more robust than similar components and module known so far. At the same time, the solderability of the component or module is improved.

#### CLAIMS

- 1. A method of securing solder balls and any components fixed to one and the same side of a substrate, the components, if any, having a height that is less than the diameter of the solder balls, characterized by
- placing the substrate in a compressible molding tool having flat-bottomed cavities for individually receiving a top portion of each solder ball,
- compressing the molding tool to form the top portion of the solder balls received in said cavities to have a flat top, and
- supplying a molding compound into the molding tool to mold said compound to cover any components and surround at least a part of each solder ball.
  - 2. The method according to claim 1, characterized by forming the solder balls into truncated cones.

15

5

3. A semiconductor component comprising discrete components (2) and solder balls (3) fixed to one and the same side of the semiconductor component, **characterized in** that the solder balls (3) are formed to have a flattened top, and that a molding compound (10, 11) covers the discrete components (2) and surrounds the solder balls (3).

20

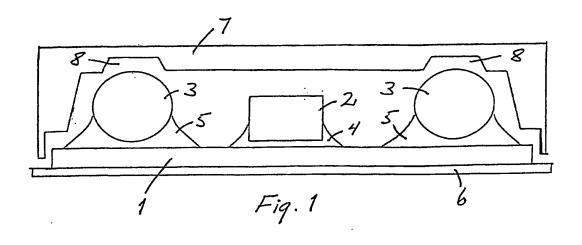
30

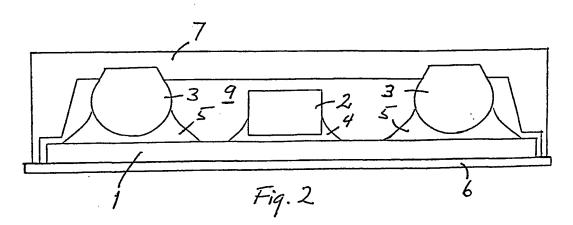
- 4. The component according to claim 3, characterized in that the solder balls (3) are formed into truncated cones (3').
- 5. The component according to claim 3 or 4, characterized in that the molding compound (11) surrounding the solder balls (3') is lower than the molding compound (10) covering any discrete components (2).
  - 6. A molding tool comprising two parts (6, 7) to be pressed together for molding a molding compound on a substrate having discrete components (2) and solder balls (3) on one and the same side, the discrete components (2) having a height that is less than the diameter of the solder balls (3), characterized in that one part (6) is adapted to receive

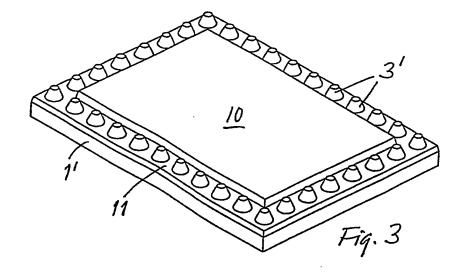
the substrate with the components (2) and the solder balls (3) facing the other part (7), and that said other part (7) comprises flat-bottomed cavities (8) for individually receiving a top portion of each solder ball (3) and for forming the top portion of each solder ball (3) to have a flat top when the parts (6, 7) are pressed together.

7. The tool according to claim 6, characterized in that the cavities (8) are cone shaped.

5







#### INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 01/01718

#### A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H01L 21/60, H01L 21/54, H01L 23/31, H01L 23/48
According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

#### IPC7: H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

# SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

#### WPI-DATA, EPO-INTERNAL

# C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 9900835 A1 (INTERNATIONAL BUSINESS MACHINES CORPORATION), 7 January 1999 (07.01.99), figures 1B, 2	1-7
	<b></b>	
A	US 5984164 A (JAMES M. WARK), 16 November 1999 (16.11.99), figures 2,3	1-7
	· 	
A	US 5895229 A (FRANCIS J. CARNEY ET AL), 20 April 1999 (20.04.99), figure 2	1-7
A	US 6038136 A (PATRICK O. WEBER), 14 March 2000 (14.03.00), figure 6	1-7
	<b></b>	
		l

X	Further documents are listed in the continuation of Box	C.	X See patent family annex.				
*	Special categories of cited documents:	"T"	later document published after the international filing date or priority				
"A"	document defining the general state of the art which is not considered to be of particular relevance		date and not in conflict with the application but cited to understand the principle or theory underlying the invention				
"E"	earlier application or patent but published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive				
"L"			step when the document is taken alone				
	cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance: the claimed invention cannot be				
″O″	document referring to an oral disclosure, use, exhibition or other means		considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art				
"P"	document published prior to the international filing date but later than the priority date claimed $% \left( 1\right) =\left( 1\right) +\left( 1\right) $	<b>"&amp;</b> "	document member of the same patent family				
Dat	e of the actual completion of the international search	Date of mailing of the international search report					
6	6 November 2001		1.2 -11- 2001				
Nan	ne and mailing address of the ISA/	Autho	orized officer				
Swe	edish Patent Office						
Вох	5055, S-102 42 STOCKHOLM	01a	Engstrand/MP				
Fac	simile No. +46 8 666 02 86		hone No. +46 8 782 25 00				

Form PCT/ISA/210 (second sheet) (July 1998)

# INTERNATIONAL SEARCH REPORT

International application No.
PCT/SE 01/01718

Category*	Citati	on of doc	ıment, wit	h indicat	ion, when	e ap	propria	te, of	the rel	evant passages	Relevant to	claim No
A	US	5554887 (10.09	A (AK. D.96), 1	lYUSH1 figure	SAWAI 14	ΕI	AL),	10	Sept	1996	1-7	
			• •									
				_		-						
										•		
												-
					•							
											·	
!												
											ł	
										,		
								•			, i	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

01/10/01

International application No.
PCT/SE 01/01718

Patent document cited in search report			Publication date	F	atent family member(s)	Publication date
WO	9900835	A1	07/01/99	HU PL	0002566 A 337511 A	28/11/00 28/08/00
US	5984164	A	16/11/99	US	6234373 B	22/05/01
US	5895229	A	20/04/99	US	6093972 A	25/07/00
US	6038136	Α	14/03/00	WO WO	6157086 A 0070678 A	05/12/00 23/11/00
US	5554887	Α	10/09/96	JP US US US	6342794 A 5710062 A 5834340 A 6046071 A	13/12/94 20/01/98 10/11/98 04/04/00

Docket # <u>S&ZFH031002</u>

Applic. #\_

Applicant: K.-F. BECKER ET AL.

Lerner and Greenberg, P.A.
Post Office Box 2480
Hollywood, FL 33022-2480
Tel: (954) 925-1100 Fax: (954) 925-1101